ð



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lixiao Wang

**Application No.:** 

09/740101

Filed:

3

**December 18, 2000** 

For:

**Catheter For Controlled Stent Delivery** 

**Examiner:** 

Alissa Hoey

**Group Art Unit:** 

3731

## RECEIVED

Commissioner for Patents

JUL 3 1 2003

Docket No.: S63.2A-9285-US01

P.O. Box 1450

Alexandria, VA 22313-1450

**TECHNOLOGY CENTER R3700** 

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

00000027 09740101

07/29/2003 MBERHE

180.00 OP

03 FC:1806

٢

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.						
$\S1.97(b)$ or otherwise because to the knowledge of the undersigned attorney it is being filed						
(check all that apply):						
(1) within 3 months of the filing date of the application (other than a CPA); or						
(2) within 3 months of entry of the national stage; or						
(3) before the mailing of a first Office Action on the merits;						
(4) before the mailing of a first Office Action after the filing of a request for						
continued examination (RCE) under §1.114;						
(5) as part of a continued prosecution application (CPA); or						
(6) during the period of a suspension of action for a CPA under 37 C.F.R.						
§1.103(b).						
II. This statement is believed to require a fee or the submission of a certification under						
37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)						
three months beyond the filing date of a national application (other than CPA); (2) three						
months beyond the date of entry of the national stage as set forth in §1.491 in an						
international application; (3) the mailing of a first Office Action on the merits; (4) the						
mailing of a first Office Action after the filing of a request for continued examination						
under §1.114; or (5) after the filing of a request for a continued prosecution application,						
but before the mailing date of the earlier of a final office action under §1.113, a notice of						
allowance under §1.311 or an action that otherwise closes prosecution in the application,						
then:						
(1) a certification as specified in §1.97(e) is provided below; or						
(2) a fee of \$180.00 as set forth in \$1.17(p) is authorized below, enclosed, or						
included with the payment of other papers filed together with this						

statement.

# Application No. 09/740101 Page 3

Information Disclosure Statement Attorney Docket No. S63.2A-9285-US01

	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the							
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an							
	action that otherwise closes prosecution in the application, but before payment of the issue fee, then:							
	(1) a certification as specified in §1.97(e) is completed below; and							
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or							
	included with payment of other papers filed together with this statement.							
<u>X</u>	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure							
	Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any							
	additional fees associated with this communication to Deposit Account No. 22-0350.  The Commissioner is hereby authorized to credit any overpayment associated with this							
	communication to Deposit Account No. 22-0350.							
If para	agraph II.1 or III is checked, also check one of the paragraphs below							
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in							
	this Information Disclosure Statement was first cited in a communication from a foreig							
	patent office in a counterpart foreign application not more than three months prior to the							
	date of the filing of this information disclosure statement.							
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the							
	information disclosure statement was cited in a communication from a foreign patent							
	office in a counterpart foreign application, and to the knowledge of the person signing the							
	statement after making reasonable inquiry, no item of information contained in the							
	information disclosure statement was known to any individual designated in 1.56(c) more							
	than three months prior to the filing of the Information Disclosure Statement.							

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise.

# Application No. 09/740101 Page 4

### Information Disclosure Statement Attorney Docket No. S63.2A-9285-US01

Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

By:

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: July 2,2003

Fames M. Urzedowski Registration No.: 48596

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000 Facsimile: (952) 563-3001

f:\wpwork\jmu\09285us01\_ids\_20030721.doc





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lixiao Wang

**Application No.:** 

09/740101

Filed:

December 18, 2000

For:

**Catheter For Controlled Stent Delivery** 

**Examiner:** 

Alissa Hoey

**Group Art Unit:** 

3731

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Docket No.: S63.2A-9285-US01

### TRANSMITTAL LETTER

1. In regard to the above-identified application, we are submitting the attached: Petition to Withdraw Application from Issuance; RCE Transmittal Sheet; 4 page Supplemental Information Disclosure Statement with 6 references; VAS Transmittal Letter; and Postcard. RECEIVED

2. With respect to fees: JUL 3 1 2003

Attached is check(s) in the amount of \$1010.00 + \$50

**TECHNOLOGY CENTER R3700** 

Charge additional fee to our Deposit Account No. 22-0350.

#### 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

Notwithstanding paragraph 2 above, if any additional fees associated with this 4. communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350.



Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: 1/22/03

Facsimile: (952) 563-3001

By: James M. Urzedowski
Registration No.: 48596

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

f:\wpwork\jmu\09285us01 tra 20030722.doc

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop ISSUE FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on

Julie Emerson

RECEIVED

JUL 3 1 2003

TECHNOLOGY CENTER POLICE

JUL 28 2003 E

LIST OF PATENTS AND PUBLICATION FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.: S63.2A-9285-

APPLICATION NO.: 09/740101

US01

APPLICANT: Lixiao Wang

INFORMATION	ON DISCLOSURE STATEMEN	T	The December of the Control of the C			
(Use s	everal sheets if necessary)	FILING	FILING DATE: December 18, 2000		GROUP: 3731	
REFEREN	CE DESIGNATION	U.S. I	PATENT AND PUBLISHEI	APPLICATION	N DOCUMENTS	
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
AA	5,470,313	11/28/1995	Crocker et al.	604/96		
· AB	5,645,560	07/08/1997	Crocker et al.	606/192		
AC	5,843,116	12/01/1998	Crocker et al.	606/192		
AD	6,120,523	09/19/2000	Crocker et al.	606/192		
AE	6,409,741 B1	06/25/2002	Crocker et al.	606/192		
AF	2003/0028211 A1	02/06/2003	Crocker et al.	606/192	06/24/2002	
AG						
AH						
AI						
AJ				RECI		
AK				1111	I 2003	
. AL				301.3	I 2003	
•		FOREIGN PA	TENT DOCUMENTS	TECHNOLOGY (	ENTER B3700	
•	DOCUMENT NUMBER	DATE	COUNTRY		1107 00	
BA						
. ВВ						
ВС						
BD				·		
BE					-	
	OTHER ART (	Including Aut	hor, Title, Date, Pertinent P	ages, Ect.)		
	1 1					
CA						
CA						

not in conformance and not considered. Include copy of this form with next communication to applicant.